

**TRANSMITTAL LETTER TO THE UNITED STATES  
DESIGNATED / ELECTED OFFICE (DO/EO/US)  
CONCERNING A FILING UNDER 35 U.S.C. 371**

US DOCKET NUMBER

**P64474US0**

US APPLICATION NO. (if known, see 37 CFR 1.5)

INTERNATIONAL APPLICATION NO.

**PCT/EP99/02624**

INTERNATIONAL FILING DATE

**19 April 1999**

PRIORITY DATE CLAIMED

**20 April 1998**

TITLE OF INVENTION

**SOURCE OF NUCLEI FOR NUCLEAR TRANSFER**

APPLICANT(S) FOR DO/EO/US

**Cesare GALLI and Giovanna LAZZARI**

**Applicant herein submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information.**

1. ☒ This is a **FIRST** submission of items concerning a filing under 35 U.S.C. 371.
2. ☐ This is a **SECOND** or **SUBSEQUENT** submission of items concerning a filing under 35 U.S.C. 371.
3. ☒ This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371(b) and PCT Articles 22 and 39(1).
4. ☒ A proper Demand for Internatl. Preliminary Examination was made by the 19th month from earliest claimed priority date.
5. ☒ A copy of the International Application as filed (35 U.S.C. 371(c)(2))
  - a. ☒ is transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☒ has been transmitted by the International Bureau.
  - c. ☐ is not required, as the application was filed in the United States Receiving Office (RO/US)
6. ☐ A translation of the International Application into English (35 U.S.C. 371(c)(2)).
7. ☒ Amendments to the claims of the International Application under PCT Article 19 (35 U.S.C. 371(c)(3))
  - a. ☐ are transmitted herewith (required only if not transmitted by the International Bureau).
  - b. ☐ have been transmitted by the International Bureau.
  - c. ☐ have not been made; however, the time limit for making such amendments has NOT expired.
  - d. ☒ have not been made and will not be made.
8. ☐ A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)).
9. ☐ An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)).
10. ☐ A translation of the annexes to the Internatl. Preliminary Examination report under PCT Article 36 (35 U.S.C. 371(c)(5)).

**Items 11. to 16. below concern other document(s) or information included:**

11. ☐ An Information Disclosure Statement under 37 CFR 1.97 and 1.98.
12. ☐ An assignment document for recording. A separate cover sheet compliance with 37 CFR 3.28 and 3.31 is included.
13. ☒ A **FIRST** preliminary amendment.  
☐ A **SECOND** or **SUBSEQUENT** preliminary amendment.
14. ☐ A substitute specification.
15. ☐ A change of power of attorney and/or address letter.
16. ☒ Other items or information:

International Search Report - EPO  
First Page of Publication

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**14 MAR 2002**

Legal Staff  
International Division

PCT/EP99/02624

P64474US0

17. ☒ The following fees are submitted:**Basic National Fee (37 CFR 1.492(a)(1)-(5)):**

Internatl. prelim. examination fee paid to USPTO (37 CFR 1.492 (a) (1)) .. \$690.00

No international preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (2)) but international search fee paid to USPTO (37 CFR 1.445(a)(2)) .. \$710.00

Neither international preliminary examination fee (37 CFR 1.492 (a) (3)) nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO) ..... \$1000.00

International preliminary examination fee paid to USPTO (37 CFR 1.492 (a) (4)) and all claims satisfied provisions of PCT Article 33(2)-(4) ..... \$100.00

Search Report prepared by the EPO or JPO (37 CFR 1.492 (a) (5)) ..... \$860.00

**ENTER APPROPRIATE BASIC FEE AMOUNT =**

CALCULATIONS

PTO USE ONLY

\$ 860.00

Surcharge of \$130.00 for furnishing the oath or declaration later than

☐ 20 ☒ 30 months from the earliest claimed priority date (37 CFR 1.492(e)).

\$ 130.00

Claims

Number Filed

Number Extra

Rate

Total Claims

12 - 20 =

-4-

x \$18.00

\$

Independent Claims

2 - 3 =

-1-

x \$80.00

\$

Multiple Dependent Claim(s) (if applicable)

+ \$270.00

\$

**TOTAL OF ABOVE CALCULATIONS =**

\$ 990.00

Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).

\$

**SUBTOTAL =**

\$ 990.00

Processing fee of \$130 for furnishing the English translation later than

☐ 20 ☐ 30 months from the earliest claimed priority date (37 CFR 1.492(f))

\$

**TOTAL NATIONAL FEE =**

\$ 990.00

Fee of \$40.00 for recording the enclosed assignment (37 CFR 1.21(h)).

Assignment must be accompanied by appropriate cover sheet (37 CFR 3.28, 3.31).

\$

**TOTAL FEES ENCLOSED =**

\$ 990.00

Amt. to be refunded: \$

Amt. charged: \$

a. ☒ A check in the amount of \$ 990.00 to cover the above fees is enclosed.b. ☐ Please charge my Deposit Account No. 06-1358 in the amount of \$ --- to cover the above fees. A duplicate copy of this sheet is enclosed.c. ☒ The Commissioner is hereby authorized to charge my account any additional fees set forth in §1.492 during the pendency of this application, or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

SEND ALL CORRESPONDENCE TO:  
**Jacobson, Price, Holman & Stern, PLLC**  
 400 7th Street, N.W., Suite 600  
 Washington, DC 20004  
 202-638-6666

**CUSTOMER NUMBER: 00136**

By John C. Holman  
 John C. Holman  
 Reg. No. 22,769

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Cesare GALLI et al.  
Serial No.: 09/~~673,236~~ 647,939  
Filed: October 20, 2000  
For: SOURCE OF NUCLEI FOR NUCLEAR TRANSFER

TRANSMITTAL OF SMALL ENTITY  
DECLARATION AND REQUEST FOR REFUND

Assistant Commissioner of Patents  
Washington, D.C. 20231

Attention: REFUND SECTION, ACCOUNTING  
DIVISION, OFFICE OF FINANCE

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14 MAR 2002  
Legal Staff  
International Division

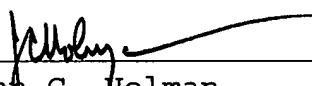
Sir:

Submitted herewith are two Small Entity Declarations, establishing small entity status for the captioned application. In view of Manual of Patent Examining Procedure (MPEP) 509.03, refund of the excess fee paid on October 20, 2000, is respectfully requested. Please credit a refund in the amount of \$495.00 to undersigned Counsel's Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN

By

  
John C. Holman  
Reg No. 22,769

400 Seventh Street, N.W.  
Washington, D.C. 20004-2201  
(202) 638-6666

Atty. Docket: P64474US0  
Date: December 20, 2000  
JCH/cmf

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Legal Staff  
International Division

MAB

Docket: P64474US0  
Serial No.: 09/673,236  
Applicant: GALLI et al.  
Filing Date: October 20, 2000

Today's Date: December 20, 2000

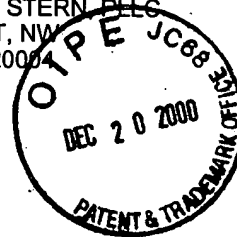
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

☒ Transmittal of Small Entity Declaration and Request for Refund  
☒ Small Entity Declaration (2 sheets)

DUE DATE: December 20, 2000

JACOBSON, PRICE, HOLMAN & STERN, PLLC  
400 SEVENTH STREET, NW  
WASHINGTON, DC 20004

JCH/cmf



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Legal Staff  
International Division

JACOBSON, PRICE, HOLMAN & STERN, PLLC • 400 SEVENTH STREET, N.W. • WASHINGTON, D.C. 20004-2201

DATE	PAYMENT FOR		AMOUNT
10-20-00	FILING FEE 990.00	ASSIGNMENT FEE	990.00
	ISSUE FEE	APPEAL FEE	
	AMENDMENT	MISCELLANEOUS	
	APPLICANT REDDIE & GROSE		
	SER. No.	MARK	
	01398	CMF	
	OUR FILE No. P64474US0	WRITER	
	CHARGE TO		

P.O. 50027

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Cesare GALLI et al.  
Serial No.: 09/673,236 <sup>647 929</sup>  
Filed: October 20, 2000  
For: SOURCE OF NUCLEI FOR NUCLEAR TRANSFER

TRANSMITTAL OF MISSING REQUIREMENTS  
UNDER 35 U.S.C. 371

Box PCT  
Assistant Commissioner of Patents  
Washington, D.C. 20231

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14 MAR 2002

Legal Staff  
International Division

Attention: APPLICATION BRANCH  
MISSING REQUIREMENTS OF APPLICATION

Sir:

With respect to the above-identified national phase application, the following are filed herewith.

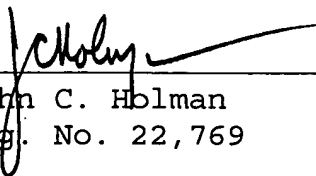
- ☒ Declaration in compliance with 37 C.F.R. §1.63.
- ☒ Assignment document with Cover Sheet and \$40.00 fee for Recordation of Assignment.
- ☒ If a Petition for Extension of time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge and fees necessary under 37 CFR 1.17 (a) - (d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1358. A duplicate copy of this sheet is enclosed.

Appln. No. 09/673,236

Respectfully submitted,

JACOBSON, PRICE, HOLMAN & STERN, PLLC

By

  
John C. Holman  
Reg. No. 22,769

400 Seventh Street, N.W.  
Washington, D.C. 20004-2201  
(202) 638-6666

Atty. Docket: P64474US0  
Date: December 20, 2000  
JCH/cmf

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14 MAR 2002

Legal Staff  
International Division

PNAD

**BOX PCT**

**MISSING REQUIREMENTS OF APPLICATION  
APPLICATION BRANCH**

Atty Docket No.: P64474US0  
Serial No.: 09/673,236  
Applicant: GALLI et al.  
Filing Date: October 20, 2000

Today's Date: December 20, 2000

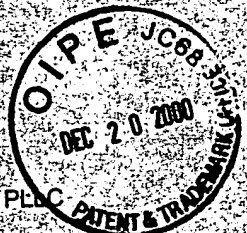
The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- ☒ Transmittal of Missing Requirements
- ☒ Combined Declaration, Power of Attorney
- ☒ Assignment Document and Cover Letter

X Check No. 508 76 for \$40.00

JACOBSON, PRICE, HOLMAN & STERN, PLLC  
400 SEVENTH STREET, NW  
WASHINGTON, DC 20004

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Legal Staff  
International Division

**\*\*HAND CARRY\*\* TO PCT WINDOW, CRYSTAL PLAZA II, 8<sup>TH</sup> FLOOR**

Today's Date: October 20, 2000

Atty Docket: P84474US0  
Serial No.: New PCT Application  
Applicant: GALLI et al.  
Filing Date: October 20, 2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- X International Search Report (210)
- X First Page of Publ.
- X 15 pg. Specif. with 12 claims and Abstract

Check for \$ 990.00 Check No. 50027

DUE DATE: October 20, 2000

JACOBSON, PRICE, HOLMAN & STERN, PLLC  
400 SEVENTH STREET, NW  
WASHINGTON, DC 20004

EARLY SERIAL NO. \_\_\_\_\_

JCH/cmf

09/673236

90 Rec'd PCT/PTO 20 OCT 2000

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14 MAR 2002  
Legal staff  
International Division

✓ **\*\*HAND CARRY\*\*** TO PCT WINDOW, CRYSTAL PLAZA II, 8<sup>TH</sup> FLOOR

Att'y Docket: P64474US0

Today's Date: October 20, 2000

Serial No.: New PCT Application

Applicant: GALLI et al.

Filing Date: October 20, 2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- X International Search Report (210)
- X First Page of Publ.
- X 15 pg. Specif. with 12 claims and Abstract

Check for \$ 990.00 Check No. 50027 DUE DATE: October 20, 2000

JACOBSON, PRICE, HOLMAN & STERN, PLLC  
400 SEVENTH STREET, NW  
WASHINGTON, DC 20004

JCH/cmf

09/673236

90 Rec'd PCT/PTO 20 OCT 2000

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of

Cesare GALLI et al

Serial No. 09/673,236<sup>647939</sup>

Filed: October 20, 2000

For: SOURCE OF NUCLEI FOR NUCLEAR TRANSFER

Asst. Commissioner of Patents  
Washington, D.C. 20231

Sir:

Attached is a Preliminary Amendment for filing in the above-captioned application.

x The fee has been calculated as follows:

	Claims Remaining After Amendment	Highest Number Previously Paid For	Present Extra		<u>Small Entity</u>		<u>Large Entity</u>	
					Rate	Fee	Rate	Fee
Total	13	-	20	= 0	x \$ 9	= \$	x \$ 18	= \$ -
Indep	1	-	3	= 0	x \$ 40	= \$	x \$ 80	= \$ -
							Total:	\$

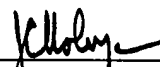
   A check in the amount of \$    is attached.

x The Commissioner is hereby authorized to charge payment of any additional fee or credit any overpayment to Deposit Account 06-1358.

x If a Petition for Extension of Time is necessary and the Petition and/or the check is not enclosed, this will act as the Petition and applicant herewith petitions the Commissioner to extend the time for response and charge any fees necessary under 37 CFR 1.17(a)-(d) to Deposit Account No. 06-1358. The Commissioner is also authorized to charge payment of any other additional fees associated with this communication or credit any overpayment to Deposit Account 06-1358.

Respectfully submitted,  
JACOBSON, PRICE, HOLMAN & STERN, PLLC

400 7th St., N.W.  
Washington, D.C. 20004  
(202) 638-6666  
Atty. Dkt. P64474US0

  
\_\_\_\_\_  
John C. Holman  
Reg. No. 22,769

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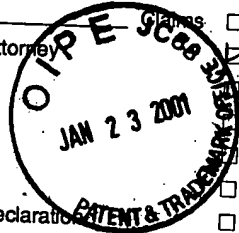
14 MAR 2002

Legal Staff  
International Division

JPH&S Ref P64474USO Today's Date 1/23/01  
Serial/Patent No. 09/673236  
Applicant, Patentee, Assignee Cesare GALLI et al  
Filing Date/Patent Date October 20, 2000

The following has been received in the U.S. Patent & Trademark Office on the date stamped hereon:

- |   |   |
|---|---|
| <input type="checkbox"/> _____ pp. Specification & _____                            | <input type="checkbox"/> Response to Office Action              |
| <input type="checkbox"/> Combined Declaration, Power of Attorney                    | <input checked="" type="checkbox"/> Disclosure Statement-IDS    |
| <input type="checkbox"/> Preliminary Amendment                                      | <input checked="" type="checkbox"/> Copies of References (28)   |
| <input type="checkbox"/> Rule 53 (b) Application                                    | <input type="checkbox"/> Request for Refund                     |
| <input type="checkbox"/> Rule 53 (d)/RCE Application                                | <input type="checkbox"/> Request for Corrected Filing Receipt   |
| <input type="checkbox"/> Claim to Priority and Certified Copy                       | <input type="checkbox"/> Petition for XOT                       |
| <input type="checkbox"/> Substitute <input type="checkbox"/> Subsequent Declaration | <input type="checkbox"/> Notice of Appeal/Brief                 |
| <input type="checkbox"/> Maintenance Fee  | <input type="checkbox"/> Sequence Listing                       |
| <input type="checkbox"/> Drawings _____ Sheets _____ Formal _____ Informal          | <input type="checkbox"/> Issue Fee Transmittal                  |
| <input type="checkbox"/> Assignment/Change of Name                                  | <input checked="" type="checkbox"/> Other <u>Copy ISR: Copy</u> |
| <input type="checkbox"/> Small Entity Declaration                                   | <u>EPO Search Report</u>  |
| <input type="checkbox"/> Check for \$ _____   |   |



JPH&S 6/00 DUE DATE 2/20/01  
Person filing DLS

JACOBSON, PRICE HOLMAN & STERN, PLLC  
400 SEVENTH STREET, N.W.  
WASHINGTON, D.C. 20004